



SHANKS & HERBERT

Intellectual Property Advocates

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March 15, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application
Appl. No.: 09/513,997
Filed: February 25, 2000
For: **COMPOSITIONS AND METHODS FOR NON-TARGETED
ACTIVATION OF ENDOGENOUS GENES**
Inventors: Harrington et al.
Attorney Docket No.: 0221-0003Q

Sir:

The following documents are forwarded herewith for appropriate action by the
U.S. Patent and Trademark Office:

1. Request for Refund;
2. Copy of the Return Postcard dated February 25, 2002; and
3. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of
filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT

Kristin K. Vidovich
Reg. No. 41,448

West Coast Office
4350 La Jolla Village Drive, Suite 330, San Diego, Ca 92122
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DEP 3¹ REF
Room 30



#02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Harrington et al.

Appl. No. 09/513,997

Filed: February 25, 2000

For: **COMPOSITIONS AND METHODS FOR
NON-TARGETED ACTIVATION OF
ENDOGENOUS GENES**

Art Unit: 1632

Examiner: P. Brunovskis

Atty. Docket: 0221-0003Q

REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26(a) and 1.28(a)

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Refund Section, Financial Accounting Division, Office of Finance

Sir:

Applicants hereby assert under 37 C.F.R. 1.27 (c) that the above-identified application has small entity status. However, at the time of filing of the Continued Prosecution Application on February 25, 2002, Applicants inadvertently paid large entity fees totaling \$2,444.00. See copy of date stamped postcard attached hereto. Thus, Applicants request a refund of \$1,222.00 (the difference between the large entity fees paid February 25, 2002 and the small entity fees that should have been paid).

It is respectfully requested that the fees paid be refunded to the undersigned's

Deposit Account No. 50-0622.

Adjustment date: 06/19/2002 AKELLEY
02/27/2002 GTEFFERA 00000086 09513997
01 FC:131 -740.00 OP
02 FC:103 -504.00 OP
03 FC:104 -280.00 OP
Adjustment date: 06/19/2002 AKELLEY
02/27/2002 GTEFFERA 00000086 09513997
04 FC:117 -920.00 OP
06/19/2002 AKELLEY 00000015 09513997
01 FC:231 370.00 OP
02 FC:203 252.00 OP
03 FC:204 140.00 OP
04 FC:217 TransPotomac 880.00 OP

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Repln. Ref: 06/19/2002 AKELLEY 0009132400
DAH:500622 Name/Number:09513997
FC: 704 \$1222.00 CR

Respectfully submitted,

SHANKS & HERBERT

By:

Kristin K. Vidovich
Kristin K. Vidovich
Reg. No. 41,448

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JUN 13 2002

OFFICE OF PETITIONS



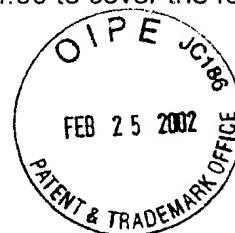
Applicant: Harrington *et al.*
Appl. No: 09/513,997
Filed: February 25, 2000

Docket No.: 0221-0003Q
By: Joseph G. Contrera

For: Compositions and Methods for Non-Targeted Activation of Endogenous Genes

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. PTO Transmittal Letter (in duplicate);
2. Continued Prosecution Application (in duplicate);
3. Petition for Extension of Time (in duplicate);
4. Our check no. 12535 in the amount of \$2444.00 to cover the following fees:
 - a. \$920.00 Three month extension of time fee;
 - b. \$740.00 Basic filing fee;
 - c. \$504.00 Total claims in excess of 20;
 - d. \$280.00 Multiple dependent claim fee; and
5. One (1) return postcard.



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